

## United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/572,411 Robin Day HOP07.019

INTERNATIONAL APPLICATION NO.

PCT/US05/40349

PRIORITY DATE I.A. FILING DATE

11/08/2005

03/16/2005

63973 KEITH D. NOWAK CARTER LEDYARD & MILBURN LLP 2 WALL STREET NEW YORK, NY 10005

**CONFIRMATION NO. 2511 371 FORMALITIES LETTER** \*OC000000025662581\*

Date Mailed: 09/04/2007

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 03/16/2006
- Copy of the International Search Report filed on 03/16/2006
- Information Disclosure Statements filed on 03/16/2006
- Oath or Declaration filed on 03/22/2007
- U.S. Basic National Fees filed on 03/16/2006
- Assignment filed on 03/16/2006

Applicant's response filed 03/22/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 01/16/2007 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - Reuben M. D. HOPPENSTEIN is listed on the cover page of the International Application as the Applicant "for all designated States EXCEPT the U.S.", and should therefore, NOT be listed on the declaration as an inventor. Please provide a newly signed declaration with inventor Robin D. DAY listed as the only inventor. If this is an error, please provide an explanation (or petition if necessary). Also, when submitting the corrected declaration, please make sure it is a clear copy, as the text on the oath submitted on 3/22/2007 was not legible.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under

## 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

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## PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/572,411	PCT/US05/40349	HOP07.019

FORM PCT/DO/EO/916 (371 Formalities Notice)